Appl. No.

10/689,767

Confirmation No.: 4545

Applicant

Mark Hamm

Filing Date

10/20/2003

Title

AN IMPROVED TRANSDUCER/SENSOR ASSEMBLY

Group Art Unit:

3737

Examiner

not yet assigned

Docket No.

701470.4072

Customer No. :

34313

Mail Stop Patent Application Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO-1449. In accordance with the USPTO waiver of the requirement under 37 CFR 1.98(a)(2)(i), only copies of foreign patent documents and non-patent literature are enclosed for the convenience of the Examiner.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

CERTIFICATE OF MAILING (37 CFR §1.8)

I hereby certify, pursuant to 37 CFR §1.8, that I have reasonable basis to expect that that this paper or fee (along with any referred to as being attached or enclosed) would be mailed or transmitted on or before the date indicated with the United States Postal Service with sufficient postage as first class mail on the date shown below in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Dated: October 19, 2004

DOCSOC1:156195.1

Applicant Appl. No. Examiner

3.

Mark Hamm 10/689,767 not yet assigned 7014709.4072

Docket No.

INFORMATION DISCLOSURE STATEMENT FILING PROVISION:

| | | · · · · · · · · · · · · · · · · · · · |
|-------------|----------|---|
| \boxtimes | This II | OS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), |
| that is | (1) wit | hin three months of the filing date of the application, which is not a continued |
| prosed | cution a | application filed under § 1.53(d); or (2) within three months of entry of the |
| nation | al stag | e as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office |
| action | on the | merits; or (4) before the mailing of a first Office action after filing a request for |
| contin | ued ex | amination under § 1.114. Thus, no fee is required. |
| | | However, if the undersigned is in error in this regard, Applicant respectfully |
| | | requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if |
| | | applicable, and charge the fee due under 37 CFR §1.17(p) to the deposit |
| | | account referenced below. |
| | | However, if the undersigned is in error in this regard, Applicant respectfully |
| | | requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if |
| | | applicable, and a statement under 37 CFR § 1.97(e) is included below, thus |
| | | no fee is required. |
| | This II | OS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first |
| Office | action | on the merits, but before a Final Action under 37 CFR § 1.113 or a Notice of |
| Allowa | nce ur | nder 37 CFR § 1.311. |
| | | The fee due under 37 CFR § 1.17(p) is submitted herewith. |
| | | A statement under 37 CFR § 1.97(e) is included below, thus no fee is |
| | | required. In the event that this IDS is not received before a Final Action or a |
| | | Notice of Allowance, then Applicant respectfully requests that the Office |
| | | consider the filing of these papers to be submitted under 37 CFR § 1.97(d) |
| | | and charge the fee due under 37 CFR § 1.17(p) to the deposit account below. |
| | This I | OS is being submitted under 37 CFR § 1.97(d), that is after a Final Action |
| under | 37 CFI | R § 1.113 or a Notice of Allowance under 37 CFR § 1.311, but before payment |
| of the | issue f | ee. A statement under 37 CFR § 1.97(e) is included below. The fee due |
| under | 37 CFI | R § 1.17(p) is submitted herewith. |
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Applicant Appl. No. Examiner

Mark Hamm 10/689,767 not yet assigned

Docket No.

7014709.4072

| | STATEMENT UNDER | | • |
|-------------------|---------------------------------------|-----------|--|
| ☐ Ea | ch item contained in this IDS was fi | rst cited | I in any communication from a foreign |
| patent off | ice in a counterpart foreign applicat | ion not | more than three months prior to the |
| filing of th | is IDS. | , | |
| No | item contained in this IDS was cite | d in a c | ommunication from a foreign patent |
| office in a | counterpart foreign application, and | d, to the | knowledge of the person signing this |
| statement | t after making reasonable inquiry, n | o item o | of information contained in this IDS was |
| known to | any individual designated in 37 CFI | R § 1.50 | 6(c) more than three months prior to the |
| filing of th | nis IDS. | | |
| | | | |
| | PAYMENT AND/OR AUTHO | RIZATIO | N TO CHARGE FEES: |
| □ A c | check in the amount of is end | closed f | or the above fee(s). |
| ☐ Ple | ease charge to Deposit Acco | unt No. | 15-0665 for the above fee(s). |
| ⊠ Th | e Commissioner is authorized to ch | arge ar | y fees required by the filing of these |
| papers, a | nd to credit any overpayment to Orr | rick, He | rrington & Sutcliffe's Deposit Account |
| No. 15-0 6 | 665. | | |
| | | _ | |
| | | Respe | ctfully submitted, |
| | | ORRIG | CK, HERRINGTON & SUTCLIFFE LLP |
| Dated: O | october 19, 2004 | Ву: | David E. Wang |
| | | | Reg. No. 38 358 |

ORRICK, HERRINGTON & SUTCLIFFE LLP 4 Park Plaza, Suite 1600 Irvine, CA 92614 949/567-6700 Telephone 949/567-6710 Facsimile

PTO/SB/08a (08-03)

Approved for use through 7/31/06. OMB 0651-0031

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| Substitute for f | orm 1449A/PTO | MADEMARK | | Complete if Known | | |
| | | | | Application Number | 10/689,767 | |
| . 11 | NFORMATION | I DISCLOSUF | RE | Filing Date | 10/20/2003 | |
| S | STATEMENT E | BY APPLICAN | IT . | First Named Inventor | Mark Hamm | |
| | | | | Art Unit | 3737 | |
| | (use as many she | ets as necessary) | | Examiner Name | not yet assigned | |
| Sheet | 1 | of | 1 | Attorney Docket Number | 701470.4072 | |
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| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|-----------|--|-----------------------------|--|--|
| Examiner Initials | Cite No.1 | U.S. Patent Document Number-Kind Code ^{2 (if known)} | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | |
| IIIIIais | 24 | US-2004-0193041-A1 | 09-30-2004 | Ostrovsky | |
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| | | FOREIGN PA | TENT DOCUMENTS | | |
|----------------------|-----------|--|--------------------------------|--|----------------|
| Examiner Initials | Cite No.1 | Foreign Patent Document Country ³ Number ⁴ Kind Code ^{5 (if known)} | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | T ⁶ |
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| ***** | | OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | |
|-----------------------|-----------|---|----|
| Examiner Initials' | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² |
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DOCSOC1:156193.1

| Examiner | Date |
|-----------|------------|
| Signature | Considered |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicants unique citation designation number (optional). 'See Kinds of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is govern by 35 USC 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450